

## **RESOLUTION 2005-28**

### **A RESOLUTION GRANTING THE EXECUTIVE DIRECTOR THE AUTHORITY TO APPROVE REQUESTS OF RIVERBOAT LICENSEES TO CHANGE THE NAME AND/OR ASSUMED NAME OF THE ENTITY HOLDING A RIVERBOAT OWNER'S LICENSE**

The Indiana Gaming Commission (Commission) adopts the following resolution pursuant to the authority granted to it under IC 4-33 and pursuant to 68 IAC 1-2-6.

The Commission has considered the following factors:

1. Pursuant to 68 IAC 2-1-6, a Riverboat Owner's License shall display the applicant's name.
2. Pursuant to 68 IAC 2-1-10(b), Riverboat Licensees shall notify the Commission of any material change in the information submitted in an application for a Riverboat Owner's License.
3. The Commission has previously considered requests for Commission approval to change the name and/or assumed name (i.e. D/B/A name) of the entity holding a Riverboat Owner's License. In order to efficiently and timely address these matters, it would be in the best interest of the Commission and the Riverboat Licensees to delegate the authority to the Executive Director or the Executive Director's designee, provided that certain conditions identified herein are met, with the ability to approve or deny requests for Commission approval to change the name and/or assumed name of the entity holding a Riverboat Owner's License.

**NOW, THEREFORE, BE IT RESOLVED BY THE INDIANA GAMING COMMISSION, THAT THE FOLLOWING RESOLUTION IS ADOPTED:**

#### **SECTION 1: SCOPE**

This resolution applies to all Riverboat Licensees.

#### **SECTION 2: DEFINITIONS**

The definitions set forth in IC 4-33-2 and 68 IAC apply to this resolution.

#### **SECTION 3: DELEGATION OF AUTHORITY TO THE EXECUTIVE DIRECTOR OR THE EXECUTIVE DIRECTOR'S DESIGNEE**

In order to efficiently and timely address requests for Commission approval to change the name and/or assumed name (i.e. D/B/A name) of an entity holding a Riverboat Owner's License, the Commission hereby delegates the authority to approve or disapprove such requests to the Executive Director or the Executive Director's designee

#### **SECTION 4: CONDITIONS REQUIRED PRIOR TO ACTION BY THE EXECUTIVE DIRECTOR OR THE EXECUTIVE DIRECTOR'S DESIGNEE**

- a. Riverboat Licensees must provide assurance to the Commission that no change or transfer of ownership interest, corporate structure or corporate officers and directors has occurred with the change in the name and/or assumed name (i.e. D/B/A name) of the entity holding the Riverboat Owner's License.
- b. Riverboat Licensees must comply with all statutes and regulations regarding the display of the name and/or assumed name (i.e. D/B/A name) of the entity holding the Riverboat Owner's License, including but not limited to, requirements regarding chips, tokens, and layouts.

#### **SECTION 4: EFFECTIVE DATE**

This RESOLUTION is effective immediately.

**ADOPTED THIS THE 23<sup>rd</sup> DAY OF JUNE, 2005.**

**THE INDIANA GAMING COMMISSION:**

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**Harold Calloway, Chair**

**ATTEST:**

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**Donald R. Vowels, Secretary**